

Certificate of Mailing/Transmission (37 C.F.R. § 1.8(a))

[X] Pursuant to 37 C.F.R. § 1.8, I hereby certify that this paper and all enclosures are being deposited with the United States Postal Service as first class mail on the date indicated below in an envelope addressed to the Commissioner for Patents and Trademarks, Washington D.C. 20231.

[] Pursuant to 37 C.F.R. § 1.6(d), I hereby certify that this paper and all enclosures are being sent via facsimile on the date indicated below to the attention of Examiner _____ at Facsimile No. _____ at _____ a.m./p.m.

Dated February 11, 2002

Name of Person Certifying: Jocelyn L. Lee

Printed Name: Jocelyn L. Lee

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Volker SCHELLENBERGER, et al. Assignee: Genencor Interantional, Inc.
Filing Date: October 10, 2001 Examiner: Not Yet Assigned
Serial No.: 09/975,139 Group Art Unit: 1645
Title: INFORMATION RICH LIBRARIES

BOX MISSING PARTS

Commissioner for Patents and Trademarks
Washington, D.C. 20231

Submission of Missing Parts of Application Under 37 C.F.R. §1.53

Sir:

In response to the Notice of Missing Parts of Application mailed on December 10, 2001, enclosed are the following items for filing in the above-referenced U.S. Patent Application:

- ☒ Declaration for Patent Application [6 pgs]
Payment for surcharge for late filing ☐ \$65.00 *small entity* ☒ \$130.00 *large entity*
- ☐ A Petition for Extension of Time. (___ months), [] page(s) Fee \$ _____
- ☐ Applicant(s) claim Small Entity Status under 37 CFR § 1.27.
- ☐ Copy of Notice to File Missing Parts of Nonprovisional Application dated December 10, 2001 [2 pgs]
- ☐ Other: a paper copy of Sequence Listing (10 pgs.), 3 1/2 Floppy Disk (1), Statement Regarding Sequence Listing (1 pg) and Preliminary Amendment (13 pgs.)
- ☐ Return Postcard.

TOTAL FEES: \$130.00

- ☐ Conditional Petition for Extension of Time: An extension of time is requested to provide for timely filing if an extension of time is still required after all papers filed with this communication have been considered.
- ☐ Please charge the above fee(s) to Deposit Account No. 50-1189, Docket No. 23623-7060, in the amount of \$130.00 to cover the above fees. *A duplicate copy of this sheet is attached.*
- ☐ The Commissioner is hereby authorized to charge payment of any additional fees due or credit any overpayment to Deposit Account No. 50-1189, Docket No. 23623-7060. *A duplicate copy of this sheet is enclosed.*

Having now complied with all of the requirements of 37 C.F.R. 1.53, applicant respectfully requests that this application be placed upon the files for examination.

Date: February 11, 2002

Respectfully submitted,

By: David W. Maher
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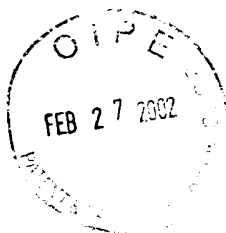


UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20523
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APPLICATION NUMBER	FILING RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,139	10/10/2001	Volker Schellenberger	23623-7060

DAVID W. MAHER
McCutchen, Doyle, Brown & Enersen, LLP
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San Francisco, CA 94111



CONFIRMATION NO. 8883

FORMALITIES LETTER



OC000000007177738

Date Mailed: 12/10/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

US PATENT APPLICATION 09/975,139

FILED UNDER 37 CFR 1.53(b)

US PATENT 130.00

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at

patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice MUST be returned with the reply.

MM

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE